

Return of Organization Exempt From Income Tax

2007

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2007 calendar year, or tax year beginning 7/1/2007, and ending 6/30/2008

- B** Check if applicable:
- Address change
 - Name change
 - Initial return
 - Final return
 - Amended return
 - Application pending

C Name of organization
AMERICAN HEART ASSOCIATION INC

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
7272 Greenville Ave

City or town, state or country, and ZIP + 4
Dallas, TX 75231

D Employer identification number
13 5613797

E Telephone number
(214) 373-6300

F Accounting method: Cash Accrual
 Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

- H and I are not applicable to section 527 organizations.**
- H(a)** Is this a group return for affiliates? Yes No
- H(b)** If "Yes," enter number of affiliates ▶
- H(c)** Are all affiliates included? Yes No
(If "No," attach a list. See instructions.)
- H(d)** Is this a separate return filed by an organization covered by a group ruling? Yes No
- I** Group Exemption Number ▶
- M** Check if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF).

G Website: ▶ **www.americanheart.org**

J Organization type (check only one) ▶ 501(c) (**3**) ◀ (insert no.) 4947(a)(1) or 527

K Check here if the organization is not a 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12 ▶ **960,935,886**

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions.)

| | | | | | | |
|--|--|------------|-------------------|--------------------|------------------------|--|
| Revenue | 1 Contributions, gifts, grants, and similar amounts received: | | | | | |
| | a Contributions to donor advised funds | 1a | | 0 | | |
| | b Direct public support (not included on line 1a) | 1b | | 468,132,071 | | |
| | c Indirect public support (not included on line 1a) | 1c | | 12,725,764 | | |
| | d Government contributions (grants) (not included on line 1a) | 1d | | 0 | | |
| | e Total (add lines 1a through 1d) (cash \$ 480,689,784 noncash \$ 168,051) | 1e | | | 480,857,835 | |
| | 2 Program service revenue including government fees and contracts (from Part VII, line 93) | 2 | | | 20,969,560 | |
| | 3 Membership dues and assessments | 3 | | | 2,030,074 | |
| | 4 Interest on savings and temporary cash investments | 4 | | | 4,100,159 | |
| | 5 Dividends and interest from securities | 5 | | | 14,319,183 | |
| | 6a Gross rents | 6a | | 1,638,040 | | |
| | b Less: rental expenses | 6b | | 538,933 | | |
| c Net rental income or (loss). Subtract line 6b from line 6a | 6c | | | 1,099,107 | | |
| 7 Other investment income (describe ▶ See Statement 1) | 7 | | | 6,217,846 | | |
| 8a Gross amount from sales of assets other than inventory | (A) Securities | | (B) Other | | | |
| | 268,624,090 | 8a | 7,970,796 | | | |
| | b Less: cost or other basis and sales expenses | 8b | 3,418,621 | | | |
| | c Gain or (loss) (attach schedule) Stmt 2 | 8c | 4,552,175 | | | |
| | d Net gain or (loss). Combine line 8c, columns (A) and (B) | 8d | | | 9,351,209 | |
| | 9 Special events and activities (attach schedule). If any amount is from gaming, check here <input checked="" type="checkbox"/> | | | | See Statement 3 | |
| a Gross revenue (not including \$ 226,097,023 of contributions reported on line 1b) | 9a | | 84,149,655 | | | |
| | b Less: direct expenses other than fundraising expenses | 9b | 35,747,949 | | | |
| | c Net income or (loss) from special events. Subtract line 9b from line 9a | 9c | | | 48,401,706 | |
| 10a Gross sales of inventory, less returns and allowances Stmt 4 | 10a | | 52,188,245 | | | |
| | b Less: cost of goods sold | 10b | 12,418,868 | | | |
| c Gross profit or (loss) from sales of inventory (attach schedule). Subtract line 10b from line 10a | 10c | | | 39,769,377 | | |
| 11 Other revenue (from Part VII, line 103) | 11 | | | 17,870,403 | | |
| 12 Total revenue. Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11 | 12 | | | 644,986,459 | | |
| Expenses | 13 Program services (from line 44, column (B)) | 13 | | 502,818,923 | | |
| | 14 Management and general (from line 44, column (C)) | 14 | | 51,559,628 | | |
| | 15 Fundraising (from line 44, column (D)) | 15 | | 112,761,441 | | |
| | 16 Payments to affiliates (attach schedule) | 16 | | 0 | | |
| | 17 Total expenses. Add lines 16 and 44, column (A) | 17 | | | 667,139,992 | |
| Net Assets | 18 Excess or (deficit) for the year. Subtract line 17 from line 12 | 18 | | -22,153,533 | | |
| | 19 Net assets or fund balances at beginning of year (from line 73, column (A)) | 19 | | 814,980,808 | | |
| | 20 Other changes in net assets or fund balances (attach explanation) Stmt 5 | 20 | | -59,710,404 | | |
| | 21 Net assets or fund balances at end of year. Combine lines 18, 19, and 20 | 21 | | | 733,116,871 | |

Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See the instructions.)

| Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I. | | (A) Total | (B) Program services | (C) Management and general | (D) Fundraising |
|---|---|------------------------|----------------------|----------------------------|-----------------|
| 22a | Grants paid from donor advised funds (attach schedule) (cash \$ _____ noncash \$ _____) If this amount includes foreign grants, check here <input type="checkbox"/> | 22a 0 | 0 | | |
| 22b | Other grants and allocations (attach schedule) (cash \$ 158,857,817 noncash \$ 0) If this amount includes foreign grants, check here <input type="checkbox"/> | 22b 158,857,817 | 158,857,817 | | |
| 23 | Specific assistance to individuals (attach schedule) | 23 0 | 0 | | |
| 24 | Benefits paid to or for members (attach schedule) | 24 0 | 0 | | |
| 25a | Compensation of current officers, directors, key employees, etc. listed in Part V-A | 25a 3,339,289 | 4,500 | 3,334,789 | 0 |
| b | Compensation of former officers, directors, key employees, etc. listed in Part V-B | 25b 31,965 | 31,965 | 0 | 0 |
| c | Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 25c 0 | 0 | 0 | 0 |
| 26 | Salaries and wages of employees not included on lines 25a, b, and c | 26 210,968,152 | 136,998,879 | 24,035,096 | 49,934,177 |
| 27 | Pension plan contributions not included on lines 25a, b, and c | 27 15,529,177 | 9,678,799 | 2,158,006 | 3,692,372 |
| 28 | Employee benefits not included on lines 25a - 27 | 28 17,775,510 | 11,278,410 | 2,194,492 | 4,302,608 |
| 29 | Payroll taxes | 29 16,379,404 | 10,496,736 | 2,087,554 | 3,795,114 |
| 30 | Professional fundraising fees | 30 3,154,228 | 0 | 0 | 3,154,228 |
| 31 | Accounting fees | 31 995,587 | 0 | 995,587 | 0 |
| 32 | Legal fees | 32 1,331,509 | 0 | 1,331,509 | 0 |
| 33 | Supplies | 33 7,594,652 | 4,924,545 | 769,356 | 1,900,751 |
| 34 | Telephone | 34 6,636,779 | 4,234,802 | 846,956 | 1,555,021 |
| 35 | Postage and shipping | 35 17,878,909 | 12,327,639 | 563,084 | 4,988,186 |
| 36 | Occupancy | 36 15,701,514 | 10,183,614 | 1,777,679 | 3,740,221 |
| 37 | Equipment rental and maintenance | 37 6,963,557 | 4,317,462 | 911,487 | 1,734,608 |
| 38 | Printing and publications | 38 40,567,944 | 29,481,847 | 1,333,305 | 9,752,792 |
| 39 | Travel | 39 28,245,473 | 19,579,031 | 2,326,431 | 6,340,011 |
| 40 | Conferences, conventions, and meetings | 40 22,470,614 | 19,405,555 | 1,000,966 | 2,064,093 |
| 41 | Interest | 41 238,873 | 0 | 238,873 | 0 |
| 42 | Depreciation, depletion, etc. (attach schedule) | 42 11,270,280 | 7,596,989 | 1,439,375 | 2,233,916 |
| 43 | Other expenses not covered above (itemize): See Statement 8 | 43a 81,208,759 | 63,420,333 | 4,215,083 | 13,573,343 |
| a | ----- | 43b | | | |
| b | ----- | 43c | | | |
| c | ----- | 43d | | | |
| d | ----- | 43e | | | |
| e | ----- | 43f | | | |
| f | ----- | 43g | | | |
| g | ----- | | | | |
| 44 | Total functional expenses. Add lines 22a through 43g. (Organizations completing columns (B)-(D), carry these totals to lines 13-15) | 44 667,139,992 | 502,818,923 | 51,559,628 | 112,761,441 |

Joint Costs. Check if you are following SOP 98-2.
 Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If "Yes," enter (i) the aggregate amount of these joint costs \$ **195,343,000**; (ii) the amount allocated to Program services \$ **114,129,000**;
 (iii) the amount allocated to Management and general \$ **17,983,000**; and (iv) the amount allocated to Fundraising \$ **63,231,000**

Part III Statement of Program Service Accomplishments (See the instructions.)

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

What is the organization's primary exempt purpose? ► **Build healthier lives free of cardiovascular diseases** **Program Service Expenses**
All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.) (Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts; but optional for others.)

| | |
|--|--|
| <p>a See Statement 9</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>(Grants and allocations \$) If this amount includes foreign grants, check here ► <input type="checkbox"/></p> | |
|--|--|

| | |
|--|--|
| <p>b</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>(Grants and allocations \$) If this amount includes foreign grants, check here ► <input type="checkbox"/></p> | |
|--|--|

| | |
|--|--|
| <p>c</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>(Grants and allocations \$) If this amount includes foreign grants, check here ► <input type="checkbox"/></p> | |
|--|--|

| | |
|--|--|
| <p>d</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>(Grants and allocations \$) If this amount includes foreign grants, check here ► <input type="checkbox"/></p> | |
|--|--|

| | |
|--|--|
| <p>e Other program services (attach schedule)</p> <p>(Grants and allocations \$) If this amount includes foreign grants, check here ► <input type="checkbox"/></p> | |
|--|--|

| | |
|---|---------------------------|
| <p>f Total of Program Service Expenses (should equal line 44, column (B), Program services). ►</p> | <p>502,818,923</p> |
|---|---------------------------|

Part IV Balance Sheets (See the instructions.)

Note: Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

| | | (A) Beginning of year | | (B) End of year |
|--|---|---|------------------------------|-------------------------------|
| Assets | 45 Cash—non-interest-bearing | 78,874,937 | 45 | 39,258,787 |
| | 46 Savings and temporary cash investments | 123,752,736 | 46 | 120,029,985 |
| | 47a Accounts receivable | 47a 6,606,587 | | |
| | b Less: allowance for doubtful accounts | 47b 0 | 6,908,738 | 47c 6,606,587 |
| | 48a Pledges receivable | 48a 177,642,666 | | |
| | b Less: allowance for doubtful accounts | 48b 4,178,395 | 145,479,600 | 48c 173,464,271 |
| | 49 Grants receivable | 0 | 49 | 0 |
| | 50a Receivables from current and former officers, directors, trustees, and key employees (attach schedule) | 0 | 50a | 0 |
| | b Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) (attach schedule) | 0 | 50b | 0 |
| | 51a Other notes and loans receivable (attach schedule) | 51a 0 | | |
| | b Less: allowance for doubtful accounts | 51b 0 | 0 | 51c 0 |
| | 52 Inventories for sale or use | 8,345,393 | 52 | 7,848,727 |
| | 53 Prepaid expenses and deferred charges | 14,457,994 | 53 | 13,863,611 |
| | 54a Investments—publicly-traded securities | <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV | 503,298,809 | 54a 478,100,762 |
| | b Investments—other securities (attach schedule) | <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV | 5,211,303 | 54b 3,395,460 |
| | 55a Investments—land, buildings, and equipment: basis | 55a 2,650,000 | | |
| | b Less: accumulated depreciation (attach schedule) See Statement 11 | 55b 163,133 | 2,503,167 | 55c 2,486,867 |
| | 56 Investments—other (attach schedule) | 0 | 56 | 0 |
| | 57a Land, buildings, and equipment: basis | 57a 188,773,118 | | |
| b Less: accumulated depreciation (attach schedule) Stmt 12 | 57b 106,789,733 | 78,991,381 | 57c 81,983,385 | |
| 58 Other assets, including program-related investments (describe ► See Statement 13) | 244,099,922 | 58 | 232,541,489 | |
| 59 Total assets (must equal line 74). Add lines 45 through 58 | 1,211,923,980 | 59 | 1,159,579,931 | |
| Liabilities | 60 Accounts payable and accrued expenses | 50,595,994 | 60 | 55,570,455 |
| | 61 Grants payable | 306,212,416 | 61 | 330,089,230 |
| | 62 Deferred revenue | 7,937,740 | 62 | 7,540,768 |
| | 63 Loans from officers, directors, trustees, and key employees (attach schedule) | 0 | 63 | 0 |
| | 64a Tax-exempt bond liabilities (attach schedule) See Statement 14 | 2,160,000 | 64a | 2,015,000 |
| | b Mortgages and other notes payable (attach schedule) | 503,506 | 64b | 0 |
| | 65 Other liabilities (describe ► See Statement 15) | 29,533,516 | 65 | 31,247,607 |
| 66 Total liabilities. Add lines 60 through 65 | 396,943,172 | 66 | 426,463,060 | |
| Net Assets or Fund Balances | Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74. | | | |
| | 67 Unrestricted | 384,617,453 | 67 | 299,472,171 |
| | 68 Temporarily restricted | 262,743,329 | 68 | 274,481,500 |
| | 69 Permanently restricted | 167,620,026 | 69 | 159,163,200 |
| | Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74. | | | |
| | 70 Capital stock, trust principal, or current funds | | 70 | |
| | 71 Paid-in or capital surplus, or land, building, and equipment fund | | 71 | |
| | 72 Retained earnings, endowment, accumulated income, or other funds | | 72 | |
| 73 Total net assets or fund balances. Add lines 67 through 69 or lines 70 through 72. (Column (A) must equal line 19 and column (B) must equal line 21) | 814,980,808 | 73 | 733,116,871 | |
| 74 Total liabilities and net assets/fund balances. Add lines 66 and 73 | 1,211,923,980 | 74 | 1,159,579,931 | |

| Part VI Other Information (continued) | | Yes | No |
|--|---|-------------------------------------|-------------------------------------|
| 82a | Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value? | <input checked="" type="checkbox"/> | |
| | b If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.) | | |
| | 82b <u>43,979,225</u> | | |
| 83a | Did the organization comply with the public inspection requirements for returns and exemption applications? | <input checked="" type="checkbox"/> | |
| | b Did the organization comply with the disclosure requirements relating to <i>quid pro quo</i> contributions? | <input checked="" type="checkbox"/> | |
| 84a | Did the organization solicit any contributions or gifts that were not tax deductible? | | <input checked="" type="checkbox"/> |
| | b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | |
| 85 | 501(c)(4), (5), or (6) organizations. a Were substantially all dues nondeductible by members? | | |
| | b Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year. | | |
| | c Dues, assessments, and similar amounts from members | 85c | |
| | d Section 162(e) lobbying and political expenditures | 85d | |
| | e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices | 85e | |
| | f Taxable amount of lobbying and political expenditures (line 85d less 85e) | 85f | |
| | g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f? | 85g | |
| | h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? | 85h | |
| 86 | 501(c)(7) orgs. Enter: a Initiation fees and capital contributions included on line 12 | 86a | |
| | b Gross receipts, included on line 12, for public use of club facilities | 86b | |
| 87 | 501(c)(12) orgs. Enter: a Gross income from members or shareholders | 87a | |
| | b Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) | 87b | |
| 88a | At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX. | | <input checked="" type="checkbox"/> |
| | b At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI | 88b | <input checked="" type="checkbox"/> |
| 89a | 501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 <u>0</u> ; section 4912 <u>0</u> ; section 4955 <u>0</u> | | |
| | b 501(c)(3) and 501(c)(4) orgs. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction | 89b | <input checked="" type="checkbox"/> |
| | c Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <u>0</u> | | |
| | d Enter: Amount of tax on line 89c, above, reimbursed by the organization <u>0</u> | | |
| | e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? | 89e | <input checked="" type="checkbox"/> |
| | f All organizations. Did the organization acquire a direct or indirect interest in any applicable insurance contract? | 89f | <input checked="" type="checkbox"/> |
| | g For supporting organizations and sponsoring organizations maintaining donor advised funds. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | 89g | <input checked="" type="checkbox"/> |
| 90a | List the states with which a copy of this return is filed AL,AK,AZ,AR,CA,CT,FL,GA,IL,IN,KS,KY,LA,ME,MD,MA,MI,MN,N | | |
| | b Number of employees employed in the pay period that includes March 12, 2007 (See instructions.) | 90b <u>3131</u> | |
| 91a | The books are in care of American Heart Association Inc Telephone no. 214-373-6300 Located at 7272 Greenville Ave, Dallas, TX ZIP + 4 75231 | | |
| | b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country See Statement 22 See the instructions for exceptions and filing requirements for Form TD F 90-22.1 , Report of Foreign Bank and Financial Accounts. | 91b | <input checked="" type="checkbox"/> |

Part VI Other Information (continued)

c At any time during the calendar year, did the organization maintain an office outside of the United States? **91c** Yes No
 If "Yes," enter the name of the foreign country ▶

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the tax year ▶ | **92** |

Part VII Analysis of Income-Producing Activities (See the instructions.)

Note: Enter gross amounts unless otherwise indicated.

| | Unrelated business income | | Excluded by section 512, 513, or 514 | | (E) Related or exempt function income |
|---|---------------------------|---------------|--------------------------------------|--------------------|--|
| | (A) Business code | (B) Amount | (C) Exclusion code | (D) Amount | |
| 93 Program service revenue: | | | | | |
| a Conferences and Seminars | | | | | 20,969,560 |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| f Medicare/Medicaid payments | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 94 Membership dues and assessments | | | | | 2,030,074 |
| 95 Interest on savings and temporary cash investments | | | 14 | 4,100,159 | |
| 96 Dividends and interest from securities | | | 14 | 14,319,183 | |
| 97 Net rental income or (loss) from real estate: | | | | | |
| a debt-financed property | | | | | |
| b not debt-financed property | | | 16 | 1,099,107 | |
| 98 Net rental income or (loss) from personal property | | | | | |
| 99 Other investment income | | | 14 | 6,217,846 | |
| 100 Gain or (loss) from sales of assets other than inventory | | | 18 | 9,351,209 | |
| 101 Net income or (loss) from special events | | | 01 | 48,401,706 | |
| 102 Gross profit or (loss) from sales of inventory | | | | | 39,769,377 |
| 103 Other revenue: a Royalties | | | 15 | 15,370,553 | |
| b Change in value split-interest agreements | | | 14 | 2,441,609 | |
| c Other | 541800 | 58,893 | | | -652 |
| d _____ | | | | | |
| e _____ | | | | | |
| 104 Subtotal (add columns (B), (D), and (E)) | | 58,893 | | 101,301,372 | 62,768,359 |
| 105 Total (add line 104, columns (B), (D), and (E)) ▶ | | | | | 164,128,624 |

Note: Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See the instructions.)

| Line No. ▼ | Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes). |
|---------------|---|
| | See Statement 23 |
| | |
| | |

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See the instructions.)

| (A) Name, address, and EIN of corporation, partnership, or disregarded entity | (B) Percentage of ownership interest | (C) Nature of activities | (D) Total income | (E) End-of-year assets |
|--|---|-----------------------------|---------------------|---------------------------|
| | % | | | |
| | % | | | |
| | % | | | |
| | % | | | |

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See the instructions.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).

Part XI Information Regarding Transfers To and From Controlled Entities. Complete only if the organization is a controlling organization as defined in section 512(b)(13).

106 Did the reporting organization **make** any transfers **to** a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

| | (A) Name, address, of each controlled entity | (B) Employer Identification Number | (C) Description of transfer | (D) Amount of transfer |
|---------------|--|--|-----------------------------------|---------------------------|
| a | | | | |
| b | | | | |
| c | | | | |
| Totals | | | | |

107 Did the reporting organization **receive** any transfers **from** a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.

| | (A) Name, address, of each controlled entity | (B) Employer Identification Number | (C) Description of transfer | (D) Amount of transfer |
|---------------|--|--|-----------------------------------|---------------------------|
| a | | | | |
| b | | | | |
| c | | | | |
| Totals | | | | |

108 Did the organization have a binding written contract in effect on August 17, 2007, covering the interest, rents, royalties, and annuities described in question 107 above?

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please Sign Here

Signature of officer: _____ Date: _____

Sunder D Joshi, Executive VP and CFO

Type or print name and title

Paid Preparer's Use Only

Preparer's signature: _____ Date: _____ Check if self-employed:

Firm's name (or yours if self-employed), address, and ZIP + 4: **KPMG LLP**
300 North Greene Street Suite 400, Greensboro, NC 27401 EIN: _____ Preparer's SSN or PTIN (See Gen. Inst. X): **275-3394**

Phone no.: (**336**) **275-3394**

*** 990 Online Filers: Please fax completed and signed form to 866-699-3916

Form **8453-EO**

Exempt Organization Declaration and Signature for Electronic Filing

OMB No. 1545-1879

For calendar year 2007, or tax year beginning 7/1/2007, and ending 6/30/2008
 For use with Forms 990, 990-EZ, 990-PF, 1120-POL, and 8868
 ▶ See instructions on back.

2007

Department of the Treasury
Internal Revenue Service

Name of exempt organization **AMERICAN HEART ASSOCIATION INC** Employer identification number **13 5613797**

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8453-EO and enter the applicable amount from the return if any. If you check the box on line 1a, 2a, 3a, 4a, or 5a below and the amount on that line for the return for which you are filing this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (that is, do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

| | | | |
|--|---|----|----------------------|
| 1a Form 990 check here ▶ <input checked="" type="checkbox"/> | b Total revenue, if any (Form 990, line 12) | 1b | <u>\$644,986,459</u> |
| 2a Form 990-EZ check here ▶ <input type="checkbox"/> | b Total revenue, if any (Form 990-EZ, line 9) | 2b | _____ |
| 3a Form 1120-POL check here ▶ <input type="checkbox"/> | b Total tax (Form 1120-POL, line 22) | 3b | _____ |
| 4a Form 990-PF check here ▶ <input type="checkbox"/> | b Tax based on investment income (Form 990-PF, Part VI, line 5) | 4b | _____ |
| 5a Form 8868 check here ▶ <input type="checkbox"/> | b Balance due (Form 8868, line 3c) | 5b | _____ |

Part II Declaration of Officer

6 I authorize the U.S. Treasury and its designated Financial Agent to initiate an ACH electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.

If a copy of this return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I certify that I executed the electronic disclosure consent contained within this return allowing disclosure by the IRS of this Form 990/990-EZ/990-PF (as specifically identified in Part I above) to the selected state agency(ies).

Under penalties of perjury, I declare that I am an officer of the above named organization and that I have examined a copy of the organization's 2007 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) an indication of any refund offset, (c) the reason for any delay in processing the return or refund, and (d) the date of any refund.

Sign Here ▶ Sunder D Joshi 11/5/08 ▶ **Sunder D Joshi, Executive VP and CFO**
 Signature of officer Date Title

Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)

I declare that I have reviewed the above organization's return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The organization officer will have signed this form before I submit the return. I will give the officer a copy of all forms and information to be filed with the IRS, and have followed all other requirements in Publication 4206, Information for Authorized IRS e-file Providers of Exempt Organization Filings. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above organization's return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

| | | | | | |
|-----------------------|--|------|--|---|----------------------------------|
| ERO's Use Only | ERO's signature ▶ _____ | Date | Check if also paid preparer <input type="checkbox"/> | Check if self-employed <input type="checkbox"/> | ERO's SSN or PTIN |
| | Firm's name (or yours if self-employed), address, and ZIP code ▶ _____ | | | | EIN _____ Phone no. () _____ |

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than the taxpayer) is based on all information of which the preparer has any knowledge.

| | | | | |
|---------------------------------|--|----------------|---|---|
| Paid Preparer's Use Only | Preparer's signature ▶ <u>[Signature]</u> | Date | Check if self-employed <input type="checkbox"/> | Preparer's SSN or PTIN |
| | Firm's name (or yours if self-employed), address, and ZIP code ▶ KPMG LLP 300 North Greene Street Suite 400, Greensboro, NC 27401 | <u>11/5/08</u> | | P0000888 EIN 138565207 Phone no. (336) 275-3394 |

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n),
or 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information—(See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No. 1545-0047

2007

| | |
|---|---|
| Name of the organization AMERICAN HEART ASSOCIATION INC | Employer identification number 13 5613797 |
|---|---|

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 2 of the instructions. List each one. If there are none, enter "None.")

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans & deferred compensation | (e) Expense account and other allowances |
|--|--|------------------|---|--|
| Roman Bowser 7272 Greenville Ave, Dallas, TX 75231, US | Vice President 37.5 | 440,229 | 38,394 | 5,401 |
| Kevin Harker 7272 Greenville Ave, Dallas, TX 75231, US | Vice President 37.5 | 409,119 | 59,424 | 957 |
| John Brennan 7272 Greenville Ave, Dallas, TX 75231, US | Vice President 37.5 | 408,368 | 58,800 | 79,942 |
| Michael Weamer 7272 Greenville Ave, Dallas, TX 75231, US | Vice President 37.5 | 405,112 | 81,798 | 0 |
| David Markiewicz 7272 Greenville Ave, Dallas, TX 75231, US | Vice President 37.5 | 383,882 | 52,718 | 266 |
| Total number of other employees paid over \$50,000 . ▶ | 1616 | | | |

Part II-A Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

| (a) Name and address of each independent contractor paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---|------------------|
| Infocision Management 325 Springside Drive, Akron, OH 44333, US | Telephone Marketing | 8,346,930 |
| Edelman 1500 Broadway, New York, NY 10036, US | Public Relations | 2,144,623 |
| Experian Marketing Solutions PO Box 73774, Chicago, IL 60673, US | Direct Mail | 1,632,912 |
| eVerge Group of Texas Limited 4965 Preston Park Boulevard Suite 7, Plano, TX 75093, US | Computer consulting | 1,613,955 |
| Oracle USA Inc PO Box 71028, Chicago, IL 60694, US | Computer licensing and maintenance | 1,573,073 |
| Total number of others receiving over \$50,000 for professional services ▶ | 181 | |

Part II-B Compensation of the Five Highest Paid Independent Contractors for Other Services
(List each contractor who performed services other than professional services, whether individuals or firms. If there are none, enter "None." See page 2 of the instructions.)

| (a) Name and address of each independent contractor paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|-------------------------------------|------------------|
| Avaya Inc PO Box 5332, New York, NY 10087, US | Telephone system maintenance | 468,682 |
| CDS Global Inc PO Box 360, Des Moines, IA 50302, US | Lockbox processing | 354,540 |
| Consigli Construction Co 72 Sumner Street, Milford, MA 01757, US | Building construction | 254,425 |
| Prudential Relocation PO Box 841337, Dallas, TX 75284, US | Employee relocation services | 159,499 |
| Monster Inc PO Box 90364, Chicago, IL 60696, US | Employment advertising | 130,204 |
| Total number of other contractors receiving over \$50,000 for other services ▶ | 18 | |

Part III Statements About Activities (See page 2 of the instructions.)

| | Yes | No |
|---|-----|----------|
| 1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ <u>4,364,030</u> (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B.) | 1 | ✓ |
| Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities. | | |
| 2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions.) | | |
| a Sale, exchange, or leasing of property? | 2a | ✓ |
| b Lending of money or other extension of credit? | 2b | ✓ |
| c Furnishing of goods, services, or facilities? | 2c | ✓ |
| d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? | 2d | ✓ |
| e Transfer of any part of its income or assets? | 2e | ✓ |
| See Form 990, Pt. V | | |
| 3a Did the organization make grants for scholarships, fellowships, student loans, etc.? (If "Yes," attach an explanation of how the organization determines that recipients qualify to receive payments.) Stmt.25 | 3a | ✓ |
| b Did the organization have a section 403(b) annuity plan for its employees? | 3b | ✓ |
| c Did the organization receive or hold an easement for conservation purposes, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," attach a detailed statement | 3c | ✓ |
| d Did the organization provide credit counseling, debt management, credit repair, or debt negotiation services? | 3d | ✓ |
| 4a Did the organization maintain any donor advised funds? If "Yes," complete lines 4b through 4g. If "No," complete lines 4f and 4g | 4a | ✓ |
| b Did the organization make any taxable distributions under section 4966? | 4b | ✓ |
| c Did the organization make a distribution to a donor, donor advisor, or related person? | 4c | ✓ |
| d Enter the total number of donor advised funds owned at the end of the tax year ▶ | | |
| e Enter the aggregate value of assets held in all donor advised funds owned at the end of the tax year ▶ | | |
| f Enter the total number of separate funds or accounts owned at the end of the tax year (excluding donor advised funds included on line 4d) where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts ▶ | | 0 |
| g Enter the aggregate value of assets held in all funds or accounts included on line 4f at the end of the tax year ▶ | | 0 |

Part IV Reason for Non-Private Foundation Status (See pages 4 through 7 of the instructions.)

I certify that the organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

- 5 A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6 A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)
- 7 A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8 A federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9 A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). **Enter the hospital's name, city, and state** ▶
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)
- 11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 11b A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)
- 12 An organization that normally receives: **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3). Check the box that describes the type of supporting organization:
 Type I Type II Type III-Functionally Integrated Type III-Other

Provide the following information about the supported organizations. (See page 7 of the instructions.)

| (a) Name(s) of supported organization(s) | (b) Employer identification number (EIN) | (c) Type of organization (described in lines 5 through 12 above or IRC section) | (d) Is the supported organization listed in the supporting organization's governing documents? | | (e) Amount of support |
|---|---|--|---|----|--------------------------|
| | | | Yes | No | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Total | | | | | 0 |

- 14 An organization organized and operated to test for public safety. Section 509(a)(4). (See page 7 of the instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) **Use cash method of accounting.**

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

| Calendar year (or fiscal year beginning in) | (a) 2006 | (b) 2005 | (c) 2004 | (d) 2003 | (e) Total |
|--|--------------------|--------------------|--------------------|--------------------|----------------------|
| 15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.) | 448,650,321 | 428,685,725 | 428,491,231 | 409,087,093 | 1,714,914,370 |
| 16 Membership fees received | 2,072,301 | 1,846,924 | 1,709,702 | 1,579,521 | 7,208,448 |
| 17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose | 172,402,836 | 136,736,215 | 122,598,963 | 136,222,324 | 567,960,338 |
| 18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975 | 39,105,033 | 36,676,327 | 29,254,517 | 26,335,012 | 131,370,889 |
| 19 Net income from unrelated business activities not included in line 18. | 41,752 | 47,180 | 96,367 | 0 | 185,299 |
| 20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf | 0 | 0 | 0 | 0 | 0 |
| 21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge | 0 | 0 | 0 | 0 | 0 |
| 22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets | 0 | 0 | 906,076 | 2,668,057 | 3,574,133 |
| 23 Total of lines 15 through 22 | 662,272,243 | 603,992,371 | 583,056,856 | 575,892,007 | 2,425,213,477 |
| 24 Line 23 minus line 17 | 489,869,407 | 467,256,156 | 460,457,893 | 439,669,683 | 1,857,253,139 |
| 25 Enter 1% of line 23 | 6,622,722 | 6,039,924 | 5,830,569 | 5,758,920 | |

Stmt 26

| | | | |
|--|---|------------|----------------------|
| 26 Organizations described on lines 10 or 11: | a Enter 2% of amount in column (e), line 24 | 26a | 37,145,063 |
| b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2003 through 2006 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts | | 26b | 0 |
| c Total support for section 509(a)(1) test: Enter line 24, column (e) | | 26c | 1,857,253,139 |
| d Add: Amounts from column (e) for lines: | 18 <u>131,370,889</u> 19 <u>185,299</u> 22 <u>3,574,133</u> 26b <u>0</u> | 26d | 135,130,321 |
| e Public support (line 26c minus line 26d total) | | 26e | 1,722,122,818 |
| f Public support percentage (line 26e (numerator) divided by line 26c (denominator)) | | 26f | 93 % |

27 Organizations described on line 12: **a** For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." **Do not file this list with your return.** Enter the sum of such amounts for each year:

(2006) _____ (2005) _____ (2004) _____ (2003) _____

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11b, as well as individuals.) **Do not file this list with your return.** After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year:

(2006) _____ (2005) _____ (2004) _____ (2003) _____

| | | | |
|---|---|------------|---|
| c Add: Amounts from column (e) for lines: | 15 _____ 16 _____ 17 _____ 20 _____ 21 _____ | 27c | |
| d Add: Line 27a total _____ and line 27b total _____ | | 27d | |
| e Public support (line 27c total minus line 27d total) | | 27e | |
| f Total support for section 509(a)(2) test: Enter amount from line 23, column (e) | 27f | | |
| g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) | | 27g | % |
| h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) | | 27h | % |

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 2003 through 2006, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return.** Do not include these grants in line 15.

Part V Private School Questionnaire (See page 9 of the instructions.)
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

| | | Yes | No |
|------------|--|-----|----|
| 29 | Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | | |
| 30 | Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | | |
| 31 | Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe; if "No," please explain. (If you need more space, attach a separate statement.) ----- ----- ----- | | |
| 32 | Does the organization maintain the following: | | |
| a | Records indicating the racial composition of the student body, faculty, and administrative staff? | | |
| b | Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? | | |
| c | Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? | | |
| d | Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.) ----- ----- | | |
| 33 | Does the organization discriminate by race in any way with respect to: | | |
| a | Students' rights or privileges? | | |
| b | Admissions policies? | | |
| c | Employment of faculty or administrative staff? | | |
| d | Scholarships or other financial assistance? | | |
| e | Educational policies? | | |
| f | Use of facilities? | | |
| g | Athletic programs? | | |
| h | Other extracurricular activities? If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.) ----- ----- ----- | | |
| 34a | Does the organization receive any financial aid or assistance from a governmental agency? | | |
| b | Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement. | | |
| 35 | Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation | | |

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 10 of the instructions.)
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

Check **a** if the organization belongs to an affiliated group. Check **b** if you checked "a" and "limited control" provisions apply.

| Limits on Lobbying Expenditures | | (a) Affiliated group totals | (b) To be completed for all electing organizations |
|---|---|-----------------------------------|---|
| (The term "expenditures" means amounts paid or incurred.) | | | |
| 36 | Total lobbying expenditures to influence public opinion (grassroots lobbying) | 36 | |
| 37 | Total lobbying expenditures to influence a legislative body (direct lobbying) | 37 | |
| 38 | Total lobbying expenditures (add lines 36 and 37) | 38 | |
| 39 | Other exempt purpose expenditures | 39 | |
| 40 | Total exempt purpose expenditures (add lines 38 and 39) | 40 | |
| 41 | Lobbying nontaxable amount. Enter the amount from the following table— | | |
| | If the amount on line 40 is— The lobbying nontaxable amount is— | | |
| | Not over \$500,000 20% of the amount on line 40 | } 41 | |
| | Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000 | | |
| | Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000 | | |
| | Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000 | | |
| | Over \$17,000,000 \$1,000,000 | | |
| 42 | Grassroots nontaxable amount (enter 25% of line 41) | 42 | |
| 43 | Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36. | 43 | |
| 44 | Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38. | 44 | |

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720.

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.
 See the instructions for lines 45 through 50 on page 13 of the instructions.)

| | Lobbying Expenditures During 4-Year Averaging Period | | | | |
|--|---|-------------|-------------|-------------|--------------|
| | (a) 2007 | (b) 2006 | (c) 2005 | (d) 2004 | (e) Total |
| 45 Lobbying nontaxable amount | | | | | |
| 46 Lobbying ceiling amount (150% of line 45(e)) | | | | | |
| 47 Total lobbying expenditures | | | | | |
| 48 Grassroots nontaxable amount | | | | | |
| 49 Grassroots ceiling amount (150% of line 48(e)) | | | | | |
| 50 Grassroots lobbying expenditures | | | | | |

Part VI-B Lobbying Activity by Nonelecting Public Charities
 (For reporting only by organizations that did not complete Part VI-A) (See page 13 of the instructions.)

| During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: | Yes | No | Amount |
|---|-----|----|------------------|
| a Volunteers | ✓ | | |
| b Paid staff or management (Include compensation in expenses reported on lines c through h .) | ✓ | | |
| c Media advertisements | ✓ | | 324,171 |
| d Mailings to members, legislators, or the public | ✓ | | 74,551 |
| e Publications, or published or broadcast statements | ✓ | | 166,076 |
| f Grants to other organizations for lobbying purposes | ✓ | | 2,895,703 |
| g Direct contact with legislators, their staffs, government officials, or a legislative body | ✓ | | 480,394 |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means | ✓ | | 423,135 |
| i Total lobbying expenditures (Add lines c through h .) | | | 4,364,030 |
| If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities. | | | Stmt 27 |

Statement 1

Form: 990

Page: 1

Part: I

Question: 7

AMERICAN HEART ASSOCIATION INC

13-5613797

Other Investment Income

| Description | Amount |
|-------------------------|-----------------------|
| Perpetual Trust Revenue | \$6,217,846.00 |
| Total: | \$6,217,846.00 |

Statement 2

Form: 990

Page: 1

Part: I

Question: 8

AMERICAN HEART ASSOCIATION INC**13-5613797****Sales of Assets Other than Inventory****Noninventory Asset**

Description: Building
Sold To: Florida Blood Services

| | | | |
|--|-----------------------|-----------------------|------------|
| Sales Price: | \$4,455,380.00 | Date Sold: | 06/29/2008 |
| Expense of Sale: | \$0.00 | Date acquired: | 09/28/1995 |
| Cost or value when acquired: | \$1,812,864.00 | How acquired: | Purchase |
| Depreciation since acquisition: | \$454,751.00 | | |
| Net Sale: | \$3,097,267.00 | | |

Noninventory Asset

Description: Cars about 70
Sold To: Various

| | | | |
|--|--------------------|-----------------------|------------|
| Sales Price: | \$154,549.00 | Date Sold: | 06/30/2008 |
| Expense of Sale: | \$0.00 | Date acquired: | 01/01/2000 |
| Cost or value when acquired: | \$918,148.00 | How acquired: | Purchase |
| Depreciation since acquisition: | \$760,129.00 | | |
| Net Sale: | -\$3,470.00 | | |

Noninventory Asset

Description: Building
Sold To: First American Title Kansas Agency

| | | | |
|--|---------------------|-----------------------|------------|
| Sales Price: | \$937,235.00 | Date Sold: | 10/01/2007 |
| Expense of Sale: | \$0.00 | Date acquired: | 11/01/1993 |
| Cost or value when acquired: | \$1,601,530.00 | How acquired: | Purchase |
| Depreciation since acquisition: | \$932,420.00 | | |
| Net Sale: | \$268,125.00 | | |

Noninventory Asset

Description: Office Furniture
Sold To: Krista Marham

| | | | |
|--|----------------|-----------------------|------------|
| Sales Price: | \$200.00 | Date Sold: | 08/29/2007 |
| Expense of Sale: | \$0.00 | Date acquired: | 11/20/2000 |
| Cost or value when acquired: | \$495.00 | How acquired: | Purchase |
| Depreciation since acquisition: | \$357.00 | | |
| Net Sale: | \$62.00 | | |

Noninventory Asset

Description: Two Gregson Chairs
Sold To: Kathleen Reese

| | | | |
|-------------------------------------|----------|-----------------------|------------|
| Sales Price: | \$125.00 | Date Sold: | 08/29/2007 |
| Expense of Sale: | \$0.00 | Date acquired: | 06/01/1981 |
| Cost or value when acquired: | \$670.00 | How acquired: | |

Depreciation since acquisition: \$670.00 Purchase
Net Sale: \$125.00

Noninventory Asset

Description: Building
Sold To: 434 Hurricane Lane LLC

Sales Price: \$690,000.00 Date Sold: 10/01/2007
Expense of Sale: \$0.00 Date acquired: 02/01/1990
Cost or value when acquired: \$907,328.00 How acquired:
Depreciation since acquisition: \$493,129.00 Purchase
Net Sale: \$275,801.00

Noninventory Asset

Description: Building
Sold To: Northern Missouri District Council of the Assembly of God

Sales Price: \$561,674.00 Date Sold: 05/01/2008
Expense of Sale: \$0.00 Date acquired: 12/30/1990
Cost or value when acquired: \$656,942.00 How acquired:
Depreciation since acquisition: \$266,897.00 Purchase
Net Sale: \$171,629.00

Noninventory Asset

Description: Phone sym
Sold To: Unknown

Sales Price: \$446.00 Date Sold: 06/29/2008
Expense of Sale: \$0.00 Date acquired: 09/05/2003
Cost or value when acquired: \$9,595.00 How acquired:
Depreciation since acquisition: \$8,231.00 Purchase
Net Sale: -\$918.00

Noninventory Asset

Description: Desk
Sold To: Don and Nancy Maxie

Sales Price: \$25.00 Date Sold: 08/29/2007
Expense of Sale: \$0.00 Date acquired: 06/01/1981
Cost or value when acquired: \$1,619.00 How acquired:
Depreciation since acquisition: \$1,619.00 Purchase
Net Sale: \$25.00

Noninventory Asset

Description: Computers
Sold To: Dell

Sales Price: \$1,953.00 Date Sold: 02/29/2008
Expense of Sale: \$0.00 Date acquired: 09/01/2001
Cost or value when acquired: \$297,250.00 How acquired:
Depreciation since acquisition: \$297,250.00 Purchase
Net Sale: \$1,953.00

Noninventory Asset

Description: Car
Sold To: William Sugrue

| | | | |
|--|-------------------|-----------------------|------------|
| Sales Price: | \$3,400.00 | Date Sold: | 10/31/2007 |
| Expense of Sale: | \$0.00 | Date acquired: | 12/01/2002 |
| Cost or value when acquired: | \$19,621.00 | How acquired: | |
| Depreciation since acquisition: | \$19,621.00 | Purchase | |
| Net Sale: | \$3,400.00 | | |

Noninventory Asset

| | |
|---------------------|----------------------------|
| Description: | Land and Building |
| Sold To: | LUI2 Dallas Oak Lawn VI LP |

| | | | |
|--|---------------------|-----------------------|------------|
| Sales Price: | \$1,165,809.00 | Date Sold: | 02/01/2008 |
| Expense of Sale: | \$0.00 | Date acquired: | 12/31/1987 |
| Cost or value when acquired: | \$782,429.00 | How acquired: | |
| Depreciation since acquisition: | \$354,796.00 | Purchase | |
| Net Sale: | \$738,176.00 | | |

Publicly Traded Securities

| | |
|---------------------|--|
| Description: | |
| Sold To: | |

| | | | |
|--|-----------------------|-----------------------|--|
| Sales Price: | \$268,624,090.00 | Date Sold: | |
| Expense of Sale: | \$0.00 | Date acquired: | |
| Cost or value when acquired: | \$263,825,056.00 | How acquired: | |
| Depreciation since acquisition: | \$0.00 | | |
| Net Sale: | \$4,799,034.00 | | |

Statement 3

Form: 990

Page: 1

Part: I

Question: 9

AMERICAN HEART ASSOCIATION INC**13-5613797****Schedule of Special Events**

| Description | Gross Receipts | Contributions | Gross Revenue | Direct Costs | Net Income (Loss) |
|-----------------------|-------------------------|-------------------------|------------------------|------------------------|--------------------------|
| All other events | \$303,999,363.00 | \$221,828,335.00 | \$82,171,028.00 | \$34,435,395.00 | \$47,735,633.00 |
| Palm Beach Heart Ball | \$2,736,615.00 | \$1,706,930.00 | \$1,029,685.00 | \$785,743.00 | \$243,942.00 |
| Detroit Heart Ball | \$1,950,225.00 | \$1,423,079.00 | \$527,146.00 | \$208,070.00 | \$319,076.00 |
| Boston Gala | \$1,560,475.00 | \$1,138,679.00 | \$421,796.00 | \$318,741.00 | \$103,055.00 |
| Total: | \$310,246,678.00 | \$226,097,023.00 | \$84,149,655.00 | \$35,747,949.00 | \$48,401,706.00 |

Statement 4

Form: 990

Page: 1

Part: I

Question: 10

AMERICAN HEART ASSOCIATION INC

13-5613797

Sales of Inventory

| Description | Gross Sales | COGS | Gross Profit |
|------------------------|------------------------|------------------------|------------------------|
| ECC Training Materials | \$52,188,245.00 | \$12,418,868.00 | \$39,769,377.00 |
| Total: | \$52,188,245.00 | \$12,418,868.00 | \$39,769,377.00 |

Statement 5

Form: 990

Page: 1

Part: I

Question: 20

AMERICAN HEART ASSOCIATION INC**13-5613797****Other changes in Net Assets or Fund Balances**

| Explanation | Amount |
|---------------------------------------|-------------------------|
| Unrealized losses on investments | -\$45,065,176.00 |
| Unrealized losses on perpetual trusts | -\$15,212,214.00 |
| Effect of adoption of FASB 158 | \$566,986.00 |
| Total: | -\$59,710,404.00 |

Statement 7

Form: 990

Page: 2

Part: II

Question: 42

AMERICAN HEART ASSOCIATION INC

13-5613797

Depreciation and Depletion

| Asset | Current Deprec. |
|---------------------------------|----------------------------|
| Buildings and improvements | \$2,604,699.00 |
| Land and leasehold improvements | \$72,206.00 |
| Equipment and furniture | \$8,593,375.00 |
| Total | \$11,270,280.00 |

Statement 8

Form: 990

Page: 2

Part: II

Question: 43

AMERICAN HEART ASSOCIATION INC**13-5613797****Attachment listing other expenses for Part II**

| Description | Total: | Pgm Services | Mgt and General | Fundraising |
|----------------------------|------------------------|------------------------|------------------------|------------------------|
| Professional fees | \$67,128,641.00 | \$51,900,699.00 | \$1,667,593.00 | \$13,560,349.00 |
| Banking fees | \$4,415,069.00 | \$3,400,344.00 | \$1,014,725.00 | \$0.00 |
| Other awards and grants | \$3,005,059.00 | \$2,989,981.00 | \$2,084.00 | \$12,994.00 |
| Other Expenses | \$1,522,578.00 | \$1,172,641.00 | \$349,937.00 | \$0.00 |
| Insurance - nonpayroll | \$1,190,045.00 | \$916,534.00 | \$273,511.00 | \$0.00 |
| Membership dues | \$1,093,954.00 | \$842,528.00 | \$251,426.00 | \$0.00 |
| Employment ads | \$804,660.00 | \$619,723.00 | \$184,937.00 | \$0.00 |
| Bad Debts | \$670,290.00 | \$516,236.00 | \$154,054.00 | \$0.00 |
| Volunteer Recognition | \$540,240.00 | \$416,075.00 | \$124,165.00 | \$0.00 |
| Permits Licenses and Taxes | \$424,821.00 | \$327,183.00 | \$97,638.00 | \$0.00 |
| Relocation | \$413,402.00 | \$318,389.00 | \$95,013.00 | \$0.00 |
| Total: | \$81,208,759.00 | \$63,420,333.00 | \$4,215,083.00 | \$13,573,343.00 |

Statement 9

Form: 990

Page: 3

Part: III

Question:

AMERICAN HEART ASSOCIATION INC

13-5613797

Program Services

| Achievement | Pgm. Svc. Exp. |
|--|-----------------------|
| <p>Diseases, Disorders & Medical Disciplines, General: Research. The American Heart Association funds research at both the national and affiliate (regional) levels. Since the Association became a voluntary health organization in 1948, we have spent more than \$2.9 billion dollars for projects that explore the prevention, detection and treatment of heart disease and stroke. Grants support projects, fellowships and investigatorships for research training or career development for a specific period of time. During the past year, the Association funded 1,157 new awards, including 560 grants and 597 fellowships. The American Heart Association invested \$163.7 million in 2007-2008 to fund cardiovascular research. That was 24.5 percent of the Association's expenses for the fiscal year. The American Heart Association's research commitment over the past half century has helped lead to developments such as pacemakers, open-heart surgery, heart transplantation, clot-busting drugs and both automated external defibrillators and implantable defibrillators that can stop potentially fatal heart rhythm problems. Now scientists and professionals are gaining new insights into the workings of the cardiovascular system, including its genetic and cellular foundations, which will provide more answers on how to prevent and/or treat cardiovascular disease and stroke. (1157 Grants and Fellowships)</p> <p>Grants and Allocations: \$157,549,317.00 This amount includes foreign grants: No</p> | \$163,701,184.0 |
| <p>Diseases, Disorders & Medical Disciplines, General: Public Health Education. Informing all Americans about ways to reduce their risk of heart disease and stroke is another major objective of the American Heart Association. To do that, the Association spent \$240.0 million, or 36.0 percent of all 2007-2008 expenses. Alliance for a Healthier Generation. This year, the American Heart Association continued its joint initiative with the Clinton Foundation to stop the increase of childhood obesity in the United States by 2010 and reduce the prevalence of childhood obesity by 2015. The Alliance has four key initiatives: the industry initiative, Healthy Schools Program, a by kids, for kids movement (the Go Healthy Challenge), and healthcare initiatives. During the first year of implementation, the Alliance Beverage Guidelines resulted in a 45% decrease in full-calorie drink sales with a 23% increase in water sales in schools nationwide. These agreements are the first-ever voluntary guidelines for snacks and side items sold in schools that are providing healthier food choices for nearly 35 million American students. The Healthy Schools Program provides on-site support to 1,364 schools, up from 230 schools in 2007-2008, and online guidance to more than 1,500 schools, up from 900 schools at the end of last year. To-date, nearly 1 million kids across the country have engaged and taken the Go Healthy Challenge by making a commitment to learn about healthy lifestyles and committing to help themselves, their families, friends and communities make positive changes. Through our Kids' Movement the Alliance is making healthy lifestyles "cool" for kids through collaboration with media, celebrity and grassroots collaborators like Rachael Ray's Yum-O!, YMCA, NBA, Channel One, Camp Fire USA, and the Jewish Community Center Association. Go Red For Women. During its fifth year, Go Red for Women celebrated major milestones and embarked on new endeavors as it continued to spread the message about women's No. 1 killer, heart disease. * More than 650,000 women have joined the movement and 96 percent have taken positive steps to reduce their risk of heart disease. * More than 16 million red dress pins have been worn in support of Go Red For Women. * More than 1.4 million women have launched the Go Red Heart CheckUp. * More than 220 Go Red For Women luncheons were held across the country, raising approximately \$35 million. * House Speaker Nancy Pelosi helped "Congress Go Red," supporting the HEART For Women Act, which has more than 270 co-sponsors in Congress. To drive awareness for American Heart Month and National Wear Red Day, Go Red For Women launched a new Web site and held its first-ever Nationwide Casting Call - a search for women to represent Go Red in 2008-2009. More than 700 survivors, family members, friends and heart health professionals shared their stories and nine were selected to represent the cause. Two of these women will be featured in a Go Red For Women television special with NBC produced by Peacock Productions - "Go Red For Women presents: Untold Stories of the Heart." In addition, Go Red For Women released a ranking of heart friendly cities for women in the United States, commissioning BestPlaces for this study. More than 460 local media outlets featured results from Go Red For Women's Heart Friendly Cities study. Overall, Go Red For Women has garnered more than 1.5 billion media impressions nationwide, bringing the total to more than 10 billion impressions over five years. Diversity. The American Heart Association has</p> | \$239,879,837.0 |

increased the diversity of its staff and volunteers and continues to achieve diversity goals. The association is increasing public exposure to our programs related to healthcare disparities. Two hundred thirty pages of widely used heart disease and stroke information has been translated into Spanish, Vietnamese and Simplified Chinese on the American Heart Association's Web site. Power To End Stroke. Power To End Stroke (PTES) is an aggressive education and awareness campaign that embraces and celebrates the culture, energy, creativity and lifestyles of African Americans. Through this campaign, the Association heightens awareness of the disproportionately high risk of stroke in the African American community. The 2007-2008 campaign celebrated a successful year with a Power Awards Weekend in Atlanta, Georgia. The weekend consisted of a Power Awards Gala, Ambassador Luncheon, Power Benefit Concert and Power Sunday Church Service. More than 350 people participated in the weekend activities. Since its inception, the campaign has been recognized for three marketing/PR Awards (Super Bell Award, PR Platinum Award and PR Week Award) and generated over 3.4 billion media impressions and over 4 million pieces of educational campaign materials have been distributed. Start! is an initiative from the American Heart Association to help create a culture of physical activity in order to help Americans live longer, healthier lives. Start! works in tandem with Corporate America to help prioritize and foster a culture of physical activity and wellness in the workplace. Since its launch in January 2007, nearly 700 companies have been recognized as Start! Fit-Friendly Companies, and over 50,000 individuals have registered for MyStart! Online, a free physical activity and nutrition tracking tool. The initiative's signature day, National Start! Walking Day, saw a 30 percent increase in participation in a single year, with thousands of Americans walking at least 30 minutes on a designated day in April to support the cause. In addition, the movement has garnered more than 9 billion media impressions association-wide. Food Certification Program. The heart-check mark has become and remains the strongest, most visible nationwide food labeling program in the U.S. with over 100 companies and more than 800 products displaying the mark. Consumers trust the heart-check mark and use it to easily and reliably select foods that are low in saturated fat and cholesterol. During FY 2007-08, the association highlighted the strength of the AHA science backing the heart-check mark with in-store health messaging and made enhancements to the program by adding a criterion for trans fat. The online grocery list builder was also improved to allow consumers to save their online grocery list for future use and download it to their Web-enabled mobile phone or PDA. The Face the Fats program introduced two new characters, The Better Fats Sisters - Mon and Poly - to help consumers learn more about the benefits of monounsaturated and polyunsaturated fats and the foods where they are found. The Sisters help consumers find comprehensive information about fats so that they can eat healthier in restaurants and use the better fats when preparing meals at home. The association and its experts were included in articles and broadcast news clips about dietary fats resulting in 250 million media impressions. Consumer Publications. In 2007-08, the American Heart Association released the trade paperback version of American Heart Association Low-Salt Cookbook, 3rd edition. We released two magazine cookbooks: Light& Easy Recipes, which features the Go Red For Women campaign and Healthy Soul Food Recipes, which highlights the Power To End Stroke campaign as well as hardcover gift editions of The Best of Healthy Soul Food Recipes and the Go Red for Women 5th Anniversary "Love Your Heart" Cookbook. In all, the association offers more than 15 titles available in various formats. Our publications and information about them reached nearly 35 million people during our fiscal year 2007-2008. Stroke. The goal of the American Stroke Association is to reduce stroke-related death and risk by 25 percent by 2010. To help improve prevention, diagnosis and treatment efforts, the American Stroke Association helped establish state stroke leadership committees to engage healthcare providers and coordinate a systematic approach to care along the entire stroke health continuum, which includes public awareness of symptoms and primary prevention, EMS transport and pre-hospital care, acute care, secondary prevention and rehabilitation/recovery. The American Stroke Association is identifying high impact strategies to support the continuum of care, and improving these strategies using the process of continuous quality improvement. Each state implements a stroke system plan and successes to share across states. It has laid the foundation to accelerate the improvement of stroke prevention, diagnosis and treatment. (1.5 Billions of media impressions)

Grants and Allocations: \$1,308,500.00 This amount includes foreign grants: No

Diseases, Disorders & Medical Disciplines, General: Professional Education, Training and Quality.

\$79,347,049.00

Research only becomes useful when its results are made available to other scientists and healthcare professionals. With medical advances being reported daily, these professionals need access to what is new in the battle against heart disease and stroke. In 2006-2007, the American Heart Association spent \$79.3 million or 11.9 percent of the year's expenses for this purpose. Participant fees and corporate sponsorships offset these expenses. The highlight of this effort is the annual Scientific Sessions, the world's largest gathering of scientists, healthcare providers and others concerned about cardiovascular

Achievement**Pgm. Svc. Exp.**

disease. The event, held in November 2007, drew nearly 26,000 people. Additional American Heart Association meetings held during 2007-2008 addressed quality of care, arteriosclerosis, stroke, epidemiology and high blood pressure. Emergency Cardiovascular Care (ECC) Programs. For nearly 25 years, the American Heart Association has been a pioneer in the development of CPR training. A global leader in emergency care, the American Heart Association teaches basic and advanced lifesaving skills to the general public and health care providers around the world. During 2007-2008, more than 10 million people in the U.S. were trained in ECC courses. The release of new products in the healthcare and commercial markets widened the reach of our training. In an effort to get more bystanders to take action and perform CPR, Hands-Only CPR was launched. This effort encourages people who witness someone suddenly collapse to call 9-1-1 and push hard and fast on the center of the chest until emergency help arrives. Get With the Guidelines. Launched in 2000, GWTG is a hospital based quality-improvement program designed to ensure that hospitals consistently care for cardiac and stroke patients following the most up-to-date guidelines and recommendations. The program provides three modules that address coronary artery disease, heart failure and stroke. Currently more than 1,450 hospitals use one or more GWTG modules - coronary artery disease (CAD), heart failure (HF) and stroke, and more than 1 million patient records have been entered since the programs inception. Scientific Journals. The American Heart Association reports the latest in cardiovascular research through its scientific and medical journals. Until 2007 AHA published five journals. However, in fiscal year 2007/2008 two of the additional six new journals launched and by the end of 2008 the AHA will be publishing 11 scientific journals. The journals have more than 100,000 subscriptions and approximately 4 million monthly online accesses. (26000 People attending Scientific Sessions)

Grants and Allocations: \$0.00 This amount includes foreign grants: N/A

Diseases, Disorders & Medical Disciplines, General: Community Programs. The American Heart Association provides community services at the local, state and national levels. To support this effort the Association spent \$19.9 million in 2006-2007, which was 3.0 percent of expenses. Public Advocacy. In 2007-2008, our Advocacy Department's You're the Cure nationwide grassroots network consisted of more than 200,000 volunteers - including doctors, scientists, parents, and heart disease and stroke survivors - actively involved in the organization's public policy agenda. These volunteer-advocates challenge their lawmakers to support policies that will advance the fight against heart disease and stroke and improve the health of all Americans. About 40,000 new advocates joined the network in FY 2007-08. Nearly 700 You're the Cure advocates from 50 states, Puerto Rico and Washington, D.C. on April 28-29, 2008 participated in the American Heart Association's Congressional Heart and Stroke Lobby Day to ask lawmakers for their support in reducing death and disability from heart disease and stroke. In 390 meetings, these advocates urged members of Congress to significantly increase funding for heart disease and stroke research and prevention supported by the National Institutes of Health and Centers for Disease Control and Prevention and to co-sponsor the Fitness Integrated with Teaching (FIT) Kids Act - bipartisan legislation aimed at making physical education a priority in public schools. The American Heart Association's advocacy efforts move the association closer to its goal of reducing heart disease, stroke and risk by 25 percent by the year 2010. It includes advocating before local, state and federal legislative and regulatory bodies on public policy issues such as women and cardiovascular disease, stroke, childhood obesity, funding for heart disease and stroke research and prevention, tobacco control and funding for the placement of automated external defibrillators in public places. Public Service Announcements. The American Heart Association is sponsoring a national public service announcement campaign that focuses on stroke awareness, which was developed and distributed in partnership with the Ad Council. The campaign has two major components, one reaching out to the general public and another focused on the African American community. Tracking surveys confirmed increases in awareness of stroke warning signs and what to do in the event of stroke among both audiences. Surveys also indicated increased levels of public importance placed on the stroke and healthy lifestyles. (200000 Volunteers)

Grants and Allocations: \$0.00 This amount includes foreign grants: N/A

Total: \$502,818,923.00

Statement 10

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Part: IV

Question: 54

AMERICAN HEART ASSOCIATION INC**13-5613797****Investments - Securities**

| Security | Valuation Type | Amount |
|-------------------------|-----------------------|-----------------------|
| Closely-held stock | FMV | \$986,633.00 |
| Alternative investments | FMV | \$2,408,827.00 |
| Total: | | \$3,395,460.00 |

Statement 11

Form: 990

Page: 4

Part: IV

Question: 55

AMERICAN HEART ASSOCIATION INC

13-5613797

Schedule of Investment Land, Buildings and Equipment

| Description | Cost | Depreciation | Book Value |
|------------------------|-----------------------|---------------------|-----------------------|
| Building held for sale | \$2,650,000.00 | \$163,133.00 | \$2,486,867.00 |
| Total: | \$2,650,000.00 | \$163,133.00 | \$2,486,867.00 |

Statement 12

Form: 990

Page: 4

Part: IV

Question: 57

AMERICAN HEART ASSOCIATION INC**13-5613797****Schedule of Land, Buildings and Equipment**

| Description | Cost | Depreciation | Book Value |
|---------------------------------|-------------------------|-------------------------|------------------------|
| Land and leasehold improvements | \$15,671,205.00 | \$702,116.00 | \$14,969,089.00 |
| Equipment and Furniture | \$91,308,027.00 | \$71,949,179.00 | \$19,358,848.00 |
| Buildings and improvements | \$81,793,886.00 | \$34,138,438.00 | \$47,655,448.00 |
| Total: | \$188,773,118.00 | \$106,789,733.00 | \$81,983,385.00 |

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Page: 4

Part: IV

Question: 58

AMERICAN HEART ASSOCIATION INC

13-5613797

Other Assets

| Asset Description | BOY Amount | EOY Amount |
|---|-------------------------|-------------------------|
| Beneficial interest in perpetual trusts | \$129,391,047.00 | \$120,258,325.00 |
| Split-interest agreements | \$114,708,875.00 | \$112,283,164.00 |
| Total: | \$244,099,922.00 | \$232,541,489.00 |

Statement 14

Form: 990

Page: 4

Part: IV

Question: 64a

AMERICAN HEART ASSOCIATION INC**13-5613797****Tax Exempt Bond Liabilities**

| | |
|---------------------------------------|-----------------------|
| Purpose: | Building construction |
| Issue Date: | 02/01/2000 |
| Original Amount: | \$2,900,000.00 |
| Amount of issue outstanding: | \$2,015,000.00 |
| Unexpended Proceeds: | \$0.00 |
| Facility used by 3rd Party: | No |
| Percent used by 3rd Party: | |
| Obligation is a Mortgage: | Yes |
| Maturity Date: | 03/01/2018 |
| Repayment Terms: | 18 years |
| Interest Rate: | 1.52 |
| Security Provided by Borrower: | Land and improvements |
| Contingent Liability: | No |

If 'Yes', this record will not be included in the total returned to the Form 990:

| | |
|-------------------|-----------------------|
| Total Due: | \$2,015,000.00 |
|-------------------|-----------------------|

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Form: 990

Page: 4

Part: IV

Question: 65

AMERICAN HEART ASSOCIATION INC**13-5613797****Other Liabilities**

| Liability Description | BOY Amount | EOY Amount |
|-------------------------------|------------------------|------------------------|
| Rent deferral/amortization | \$2,026,446.00 | \$1,684,297.00 |
| Capital lease obligations | \$2,282,182.00 | \$2,056,638.00 |
| Supplemental retirement plans | \$1,880,129.00 | \$2,126,064.00 |
| Charitable gift annuities | \$8,512,575.00 | \$9,901,473.00 |
| Post-retirement benefits | \$14,437,059.00 | \$15,280,885.00 |
| Other | \$395,125.00 | \$198,250.00 |
| Total: | \$29,533,516.00 | \$31,247,607.00 |

Statement 16

Form: 990

Page: 5

Part: IV-A

Question: b(4)

AMERICAN HEART ASSOCIATION INC

13-5613797

Revenue Audit Line b(4)

| Description | Amount |
|--------------------|------------------------|
| Cost of goods sold | \$12,418,868.00 |
| Rental expenses | \$538,933.00 |
| Total: | \$12,957,801.00 |

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Form: 990

Page: 5

Part: IV-B

Question: b(4)

AMERICAN HEART ASSOCIATION INC

13-5613797

Expense Audit Line b(4)

| Description | Amount |
|--------------------|------------------------|
| Rental expenses | \$538,933.00 |
| Cost of goods sold | \$12,418,868.00 |
| Total: | \$12,957,801.00 |

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Form: 990

Page: 5

Part: V

Question:

AMERICAN HEART ASSOCIATION INC

13-5613797

Officers, Directors, Trustees, and Key Employees

| Name and Address | Ave. Hrs/week | Comp. | Benefits | Expenses |
|--|----------------------|--------------|-----------------|-----------------|
| Gary L Ellis Title: Chairman Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 6 | \$0.00 | \$0.00 | \$0.00 |
| Daniel W Jones Title: President Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 7 | \$0.00 | \$0.00 | \$0.00 |
| David Josserand Title: Chairman-elect Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 4 | \$0.00 | \$0.00 | \$0.00 |
| Timothy J Gardner MD FAHA Title: President-elect Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 6 | \$0.00 | \$0.00 | \$0.00 |
| Andrew Buroker Title: Immediate Past Chairman Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 6 | \$0.00 | \$0.00 | \$0.00 |
| Raymond J Gibbons MD Title: Immediate Past President Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 4 | \$0.00 | \$0.00 | \$0.00 |

| Name and Address | Ave. Hrs/week | Comp. | Benefits | Expenses |
|--|----------------------|--------------|-----------------|-----------------|
| Debra W Lockwood Title: Secretary-Treasurer Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 5 | \$0.00 | \$0.00 | \$0.00 |
| Donna Arnett PhD FAHA Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States Compensation Explanation: Honorarium for work not related to service on Board of Directors. | 3 | \$2,500.00 | \$0.00 | \$0.00 |
| Roberto Bolli MD FAHA Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States Compensation Explanation: Honorarium for work not related to service on Board of Directors. | 3 | \$1,000.00 | \$0.00 | \$0.00 |
| Shonta Chambers MSW Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 3 | \$0.00 | \$0.00 | \$0.00 |
| Mark Creager MD FAHA Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 3 | \$0.00 | \$0.00 | \$0.00 |
| Shawn A Dennis Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | 3 | \$0.00 | \$0.00 | \$0.00 |
| Ray Durazo Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 | 3 | \$0.00 | \$0.00 | \$0.00 |

| Name and Address | Ave. Hrs/week | Comp. | Benefits | Expenses |
|--|----------------------|--------------|-----------------|-----------------|
| Country: United States | | | | |
| Debra Geihlsler | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Max Gomez PhD | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Thomas A MacLean PHD | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Mark B McClellan MD PhD | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Neil M Meltzer | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Judith F Olson ESQ | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Ralph L Sacco MS MD | 3 | \$1,000.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: | | | | |

| Name and Address | Ave. Hrs/week | Comp. | Benefits | Expenses |
|--|---------------|--------------|-------------|-------------|
| CSZ: Dallas, TX 75231 Country: United States Compensation Explanation: Honorarium for work not related to service on Board of Directors. | | | | |
| David Spina | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Samuel Turner | 3 | \$0.00 | \$0.00 | \$0.00 |
| Title: Board Member Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| M Cass Wheeler | 37.5 | \$995,424.00 | \$54,919.00 | \$15,232.00 |
| Title: Exec Director/CEO Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States Compensation Explanation: During the fiscal year, Mr. Wheeler's base pay was \$589,838. In addition to his base pay, he received a \$113,231 incentive pay award in recognition of meeting performance goals and a \$47,355 lump sum payment for the AHA retirement restoration plan (previously reported as accrued each year in the benefits column of Part V of Form 990). The retirement restoration plan is designed to make whole, upon a specified vesting date, those employees whose compensation is such that the allowable retirement contribution to a 403b plan are capped. In addition, Mr. Wheeler received \$245,000 in July 2007 as the final payment of a retirement make-up agreement with the AHA based on his continued employment with AHA through July 2007. This amount was previously reported on AHA's 2006 Form 990 as a benefit when it was accrued. See Statement 24 for more information. | | | | |
| Nancy A Brown | 37.5 | \$483,664.00 | \$67,191.00 | \$1,638.00 |
| Title: Vice President Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Gordon L McCullough | 37.5 | \$433,182.00 | \$61,314.00 | \$182.00 |
| Title: Vice President Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| David Wm Livingston | 37.5 | \$306,190.00 | \$43,198.00 | \$568.00 |
| Title: Vice President Addr 1: 7272 Greenville Ave Addr 2: | | | | |

| Name and Address | Ave. Hrs/week | Comp. | Benefits | Expenses |
|--|----------------------|-----------------------|---------------------|--------------------|
| CSZ: Dallas, TX 75231 Country: United States | | | | |
| Rose Marie Robertson | 37.5 | \$454,300.00 | \$48,971.00 | \$193.00 |
| Title: Vice President Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| Sunder D Joshi | 37.5 | \$322,697.00 | \$44,574.00 | \$1,352.00 |
| Title: Vice President Addr 1: 7272 Greenville Ave Addr 2: CSZ: Dallas, TX 75231 Country: United States | | | | |
| TOTALS | | \$2,999,957.00 | \$320,167.00 | \$19,165.00 |

Statement 19

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Part: V-B

Question:

AMERICAN HEART ASSOCIATION INC

13-5613797

Former Officers, Directors, Trustees, and Key Employees

| Name and Address | Loans and Advances | Comp. | Benefits | Expenses |
|--|---------------------------|--------------------|-----------------|-----------------|
| Larry Goldstein MD | \$0.00 | \$10,145.00 | \$0.00 | \$0.00 |
| Addr: 7272 Greenville Ave | | | | |
| Addr 2: | | | | |
| CSZ: Dallas, TX 75231 | | | | |
| Country: United States | | | | |
| Compensation Explanation: Honoraria for services unrelated to service on the Board and not paid while a member of the Board. | | | | |
| Mark B Taubman MD FAHA | \$0.00 | \$21,820.00 | \$0.00 | \$0.00 |
| Addr: 7272 Greenville Ave | | | | |
| Addr 2: | | | | |
| CSZ: Dallas, TX 75231 | | | | |
| Country: United States | | | | |
| Compensation Explanation: Honoraria for services unrelated to service on the Board and not paid while a member of the Board. | | | | |
| TOTALS | \$0.00 | \$31,965.00 | \$0.00 | \$0.00 |

Statement 20

Form: 990

Page: 6

Part: VI

Question: 77

AMERICAN HEART ASSOCIATION INC

13-5613797

Changes to Governing Documents

The data for this attachment was not entered into the program. It was attached as a file. If you intend to electronically file this return, this attached file will be transmitted along with the data. If you intend to deliver this return via the US Mail, please print out the file listed below, label it with the information contained above, and include it with your return.

File Name: 135613797012007aOrgDocChange.pdf

File Type: PDF

Statement 21

Form: 990

Page: 6

Part: VI

Question: 80 b

AMERICAN HEART ASSOCIATION INC

13-5613797

Related Organizations

| Description | Exempt |
|-------------------------------------|---------------|
| International Cardiology Foundation | Yes |

Statement 22

Form: 990

Page: 7

Part: VI

Question: 91b

AMERICAN HEART ASSOCIATION INC

13-5613797

Foreign Accounts

Foreign Account List

Bermuda

Cayman Islands

Statement 23

Form: 990

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Part: VIII

Question:

AMERICAN HEART ASSOCIATION INC

13-5613797

Relationship of Activities

| Line No | Relationship of Activities to the Accomplishment of Exempt Purposes |
|----------------|---|
| 102 | Sales of Emergency Cardiac Care (ECC) materials such as CPR (Cardio Pulmonary Resuscitation) training kits and AEDs (Automated External Defibrillators) |
| 94 | Membership in one of 13 scientific councils, deciding the nature and scope of professional education activities. |
| 93 a | Scientific Sessions and other conferences, sales of material and journals to improve knowledge - see Part III explanation in statement 9. |
| 103 c | Losses on uncollectible pledges, and other income |

Statement 24

Form: 990

Page: None

Part: None

Question: None

AMERICAN HEART ASSOCIATION INC**13-5613797****Additional Explanations**

Additional Explanations

Identifier: Depreciation Methods**Reference:** Form 990 Part II Line 42**Explanation:** Donated property and equipment is capitalized and recorded at fair value at date of receipt, and expenditures for land, buildings and equipment are capitalized and recorded at cost. Depreciation of the buildings and equipment is provided on a half-year convention basis over the estimated useful lives of the assets, ranging from 3 to 40 years (leasehold improvements - length of leasehold interest; buildings and improvements - 5 to 40 years; and furniture and equipment - 3 to 7 years). See Statement #7.**Identifier:** Officer Compensation - from Note 18**Reference:** Form 990 Part V**Explanation:** AHA has obtained a reasonableness opinion from an independent compensation firm that total remuneration provided to the CEO falls within a reasonable range of competitive practices for positions among like organizations providing like services, and is therefore reasonable. Also, Mr. Wheeler has a severance agreement with the AHA, which provides for a maximum payment of 50% of his base pay, if certain conditions are met.**Identifier:** States with which a copy of this return is filed**Reference:** Form 990, Part VI, Line 90a**Explanation:** Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Florida, Georgia, Illinois, Indiana, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, Tennessee, Utah, Virginia, Washington, West Virginia, Wisconsin

Explanation of Grant Determination

Explanation of grant qualifications

Institutional Eligibility for Awards and Location of Work for Applicants/Awardees. American Heart Association research awards must be limited to non-profit institutions. Such institutions include: medical, osteopathic and dental schools, veterinary schools, schools of public health, pharmacy schools, nursing schools, universities and colleges, public and voluntary hospitals and other non-profit institutions that can demonstrate the ability to conduct the proposed research. Applications will not be accepted for work with funding to be administered through any federal institution or work to be performed by a federal employee with the exception of Veterans Administration employees. The research committee should scrutinize the available resources as they relate to local, state or national needs. (Standards and Guidelines, Appendix G, page 46)***Individual Eligibility for Awards*** The principal investigator must hold a doctoral or appropriate advanced degree at the time the award is activated for fellowships and, for grants, at the time of application. Exceptions must be documented in writing by the research committee of reference and approved by the AHA Research Committee. (Standards and Guidelines, Appendix G, page 10). The basic requirements of eligibility for all American Heart Association research programs, National Center or Affiliate are given below. *** PREDOCTORAL FELLOWSHIPS*** Post baccalaureate, predoctoral students seeking a Ph.D., M.D., D.O., or equivalent degree who seek research training and experience under the supervision of a sponsor/mentor prior to embarking on a postgraduate research career. This award is not intended for individuals who have already attained a doctoral degree, unless the individual is pursuing a second doctoral degree (example: M.D. who is seeking a Ph.D.). (Standards and Guidelines, Appendix G, page 11). ***POSTDOCTORAL FELLOWSHIPS*** Individuals who have obtained a Ph.D., M.D., D.O. or equivalent degree by the time of award activation and who seek additional research training under the supervision of a sponsor/preceptor/mentor prior to embarking on a career of independent research. This award is not intended for individuals of faculty rank. Exception: MD's or MD/PhD's with clinical responsibilities who need instructor or similar title to see patients, but who devote at least 80% full-time to research training. (Standards and Guidelines, Appendix G, page 14). ***CLINICAL RESEARCH PROGRAM*** Health care professionals with a Masters, M.D., D.O. or Ph.D. degree. Individuals who have held NIH RO1 grants or American Heart Association grant-in-aid awards (or equivalent awards) are not eligible to be the principal investigator. (Standards and Guidelines, Appendix G, page 17) BEGINNING GRANT-IN-AID Faculty/staff members initiating independent research careers. At application, applicants must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. At activation, applicants must hold a faculty/staff rank up to and including Assistant Professor (or equivalent). (Standards and Guidelines, Appendix G, page 19) SCIENTIST DEVELOPMENT GRANT Individuals initiating independent research careers. At application, applicants must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. At activation, applicant must hold a faculty/staff position. Applicant's faculty rank shall be up to and including Assistant Professor (or equivalent) at application. Applications may be submitted in the final year of a postdoctoral research fellowship or in the initial years of the independent research career. At time of award activation, no more than four years will have elapsed since applicant's first full-time faculty/staff appointment at the level of assistant professor or its equivalent. A pivotal requirement is the demonstration that the award will promote independent status for the applicant. Applicant shall have received no prior national-level grant as of time of Scientist Development Grant activation. (Standards and Guidelines, Appendix G, page 21-22) ESTABLISHED INVESTIGATOR AWARD At time of application, faculty/staff members at the mid-level stages of their independent research careers. At application, applicants must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. At the time of award activation, the investigator must be at least four (4) years but no more than nine (9) years (i.e., eight years and 12 months since the first faculty/staff appointment at the level of assistant professor or equivalent (including, but not limited to, research assistant professor, research scientist, staff scientist, etc.) Instructor positions (or equivalent positions) do not count toward the four or nine years of eligibility. Applicants must have current national-level funding as principal investigator on an R01 grant or its equivalent (e.g. VA Merit Award, NSF Grant, or PI on Program Project Grant from NIH). NIH "K" series awards are not considered equivalent to an R01. (Standards and Guidelines, Appendix G, page 24) GRANT-IN-AID Faculty/staff members conducting independent research at time of application. At application, principal investigator must hold an M.D., Ph.D., D.O. or equivalent doctoral degree and must meet institutional requirements for grant submission. (Standards and Guidelines, Appendix G, page 26) SPECIAL AWARDS/PILOT PROGRAMS Eligibility is determined by an Affiliate or the National Center based upon special local or national circumstances. The funding component must request and receive approval from the AHA Research Committee to develop and implement a pilot research program for a limited period of time (Standards and Guidelines, Appendix G, page 39). NATIONAL FELLOW-TO-FACULTY TRANSITION AWARD * Physicians who hold an M.D., M.D./PhD., D.O. or equivalent doctoral degree at the time of application submission and who seek additional research training under the supervision of a

Explanation of grant qualifications

sponsor/mentor prior to embarking on a career of independent research. * Applicants must be enrolled in or have completed an Accreditation Council for Graduate Medical Education (ACGME)-approved residency or a clinical fellowship program associated with an ACGME-approved residency. * Applicants must have completed the clinical portion of their training program by the time of award activation. It is the responsibility of the applicant to identify and work with a sponsor/mentor to develop the application. * Candidates may have had no more than five (5) years of postdoctoral research training (beyond clinical training) at time of application. * The award is not intended for individuals of faculty/staff rank. * At the time of award activation, applicant may not hold a faculty/staff appointment. The exceptions are MD's or MD/PhD's with clinical responsibilities who hold a title of instructor or similar due to their patient care responsibilities but who devote at least 80% full time effort to research training. The mentor may hold an M.D., Ph.D., D.O. or other equivalent degree. Because of the strong mentoring component of this award and the importance of developing a meaningful relationship between awardee and mentor, an individual mentor may sponsor only one applicant to the program per year. Another Major Eligibility Requirement for Individuals is Citizenship Awards are made to principal investigators and trainees who are: (a) United States citizens or (b) foreign nationals holding permanent residence or certain other visa statuses or (c) foreign nationals who have applied for permanent residency (form I-485 on file with U.S. Citizenship and Immigration Services) and who have received authorization to legally remain in the U.S. (having filed an Application for Employment from I-765). Awardee must meet American Heart Association citizenship criteria throughout the durations of the award. Foreign nationals holding permanent residence or other appropriate visa statuses must submit documentation as required by the funding component. (Standards and Guidelines, Appendix G, page 45) The National Center and each Affiliate Research Committee have the authority to add more restrictive eligibility criteria to a research award program. For example, a limitation may be placed on annual funding dollars from other sources.

Statement 26
Form: Schedule A
Page: 4
Part: IV-A
Question: 22

AMERICAN HEART ASSOCIATION INC
13-5613797

| Other Income | | | | |
|-----------------------|---------------|---------------|---------------------|-----------------------|
| Description | 2006 | 2005 | 2004 | 2003 |
| Miscellaneous revenue | \$0.00 | \$0.00 | \$906,076.00 | \$2,668,057.00 |
| Total: | \$0.00 | \$0.00 | \$906,076.00 | \$2,668,057.00 |

Statement 27
Form: Schedule A
Page: 6
Part: VI-B
Question:

AMERICAN HEART ASSOCIATION INC
13-5613797

Description of Lobbying Activity

Explanation of Lobbying Activities

In support of its mission to build healthier lives, free of cardiovascular diseases and stroke, the American Heart Association plans, coordinates and implements a public advocacy program. American Heart Association's lobbying expenditures constitute 0.654% of its total expenses. At the national level, this program includes maintaining and expanding contacts with Members of Congress. Similar relationships are built by the regional affiliates, advocating at the state and local levels. To guide its federal, state and local efforts, the Association implements a public policy agenda by maintaining active partnership in health-related coalitions with other like-minded groups; media advocacy, including letters to the editor, op-ed pieces, advertorials and news conferences; monitoring and commenting on regulatory proposals; submitting testimony and statements for the record in response to proposed policy initiatives; maintaining an active volunteer grassroots network available to write, call and/or visit local, state and federal policymakers; and lobbying of local, state and federal legislative bodies. The Association encourages Congress and state legislatures to join the fight against heart disease and stroke, the number one and number three biggest killers of Americans, respectively. The Association's public policies fall into nine distinct categories: Heart Disease and Stroke Research - A top priority of the Association is to ensure that the Federal Government continues on the path of significantly increasing funding for the National Institutes of Health, including significant increases for heart and stroke research. Health Promotion and Disease Prevention - Research has clearly illustrated that tobacco use, lack of physical activity, obesity and poor nutrition are major risk factors for heart disease, stroke and other cardiovascular diseases. Research also demonstrates that much of the disease resulting from these behaviors can be prevented. The Association advocates at the state and federal level for public policies aimed at addressing the risk factors related to heart disease and stroke. Health Disparities - The Association works to reduce healthcare disparities in heart disease, stroke and associated risk. Activities are aimed at increasing awareness, promoting research, and improving quality and access to care to reduce disparities among women and minority populations. Obesity Prevention - Obesity is a major modifiable risk factor for cardiovascular diseases. State and federal advocacy efforts focus on promoting quality physical and health education, increased physical activity opportunities, strong nutrition policies and research to effectively treat and prevent obesity, especially in children. Tobacco Control - The Association works to reduce tobacco use - particularly among children and youth - and reduce exposure to secondhand smoke in order to lower the incidence of cardiovascular diseases. The Association supports efforts to fund educational programs, to establish smoke-free public places, and to regulate the manufacture, sale, distribution, labeling and promotion of tobacco products. Quality and Availability of Care - The Association supports public policies at the state and federal level that ensure all Americans have access to and coverage for quality health care, access to appropriate emergency cardiovascular and stroke care, and access to appropriate cardiovascular and stroke drugs, treatments and devices. Stroke - Access to quality stroke care, including prevention, treatment and rehabilitation services, is a priority of the American Heart Association and its division the American Stroke Association. Advocacy activities are aimed at promoting the development and implementation of the essential elements of stroke systems of care. Chain of Survival - A strong emergency response system is a critical factor in saving the lives of victims of heart attack, cardiac arrest and stroke. Advocacy efforts are aimed at promoting all components of the Chain of Survival, including funding for the purchase of automated external defibrillators (AEDs). Charitable organizations - The Association supports policies that encourage more Americans to make charitable contributions to the non-profit sector. In addition, the Association supports policies that preserve and enhance our ability to advocate the views of our volunteers before Congress, State Legislatures, and state and federal regulatory agencies.

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Form: Schedule A
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Part: VII
Question: 51 d

AMERICAN HEART ASSOCIATION INC
13-5613797

Transfers to and Transactions with Noncharitable Exempt Organizations

| Line No | Amount | Name of Organization |
|----------------|---------------------|---|
| 51a(i) | \$7,995.00 | Rotary Clubs |
| | | Membership dues |
| 51a(i) | \$75,000.00 | AARP |
| | | Membership dues |
| 51a(i) | \$25,000.00 | Campaign For Medical Research |
| | | Membership dues |
| 51a(i) | \$86,560.00 | Chambers of Commerce |
| | | Membership dues |
| 51a(i) | \$123,977.00 | Heart & Stroke FoundationCanada |
| | | Annual royalty |
| 51a(i) | \$26,000.00 | Direct Marketing Assn Inc |
| | | Membership dues |
| 51a(i) | \$17,250.00 | Alliance of Nonprofit Mailers |
| | | Membership dues |
| 51a(i) | \$205,387.00 | World Heart Federation |
| | | Membership dues |
| 51a(i) | \$2,500.00 | Coalition For Health Funding |
| | | Membershp dues |
| 51a(i) | \$5,000.00 | Maine Coalition on Smoking |
| | | Sponsorship of youth obesity initiative |
| Total: | \$574,669.00 | |